

# Reversed Dickson permutation polynomials

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# Introduction

Let  $p$  be a prime and  $q$  a power of  $p$ .

Let  $\mathbb{F}_q$  be the finite field with  $q$  elements.

A polynomial  $f \in \mathbb{F}_q[x]$  is called a *permutation polynomial* (PP) of  $\mathbb{F}_q$  if the associated mapping  $x \mapsto f(x)$  from  $\mathbb{F}_q$  to  $\mathbb{F}_q$  is a permutation of  $\mathbb{F}_q$ .

**Example 1.** Every linear polynomial is a PP of  $\mathbb{F}_q$ .

**Example 2.** The monomial  $x^n$  is a PP of  $\mathbb{F}_q$  if and only if  $(n, q-1) = 1$ .

The  $n$ -th Dickson polynomial of the first kind  $D_n(x, a)$  is defined by

$$D_n(x, a) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n}{n-i} \binom{n-i}{i} (-a)^i x^{n-2i},$$

where  $a \in \mathbb{F}_q$  is a parameter.

When  $a = 0$ ,  $D_n(x, a)$  is a PP of  $\mathbb{F}_q$  if and only if  $(n, q-1) = 1$ .

When  $a \neq 0$ ,  $D_n(x, a)$  is a PP of  $\mathbb{F}_q$  if and only if  $(n, q^2-1) = 1$ .

## Background (contd.)

The  $n$ -th reversed Dickson polynomial of the first kind  $D_n(a, x)$  is defined by

$$D_n(a, x) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n}{n-i} \binom{n-i}{i} (-x)^i a^{n-2i},$$

where  $a \in \mathbb{F}_q$  is a parameter.

X. Hou, G. L. Mullen, J. A. Sellers, J. L. Yucas, *Reversed Dickson polynomials over finite fields*, *Finite Fields Appl.* **15** (2009), 748 – 773.

## Background (contd.)

The  $n$ -th Dickson polynomial of the second kind  $E_n(x, a)$  can be defined by

$$E_n(x, a) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \binom{n-i}{i} (-a)^i x^{n-2i},$$

where  $a \in \mathbb{F}_q$  is a parameter.

- Stephen D. Cohen (University of Glasgow, UK)
- Mihai Cipu (The Institute of Mathematics of the Romanian Academy)
- Rex Matthews (University of Queensland, Australia)
- Robert Coulter (University of Delaware, USA)
- Marie Henderson (New Zealand)

## Background (contd.)

The  $n$ -th reversed Dickson polynomial of the second kind  $E_n(a, x)$  can be defined by

$$E_n(a, x) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \binom{n-i}{i} (-x)^i a^{n-2i},$$

where  $a \in \mathbb{F}_q$  is a parameter.

S. Hong, X. Qin, W. Zhao, *Necessary conditions for reversed Dickson polynomials of the second kind to be permutational*, Finite Fields Appl. **37** (2016), 54 – 71.

## Background (contd.)

For  $a \in \mathbb{F}_q$ , the  $n$ -th Dickson polynomial of the  $(k + 1)$ -th kind  $D_{n,k}(x, a)$  is defined by

$$D_{n,k}(x, a) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n - ki}{n - i} \binom{n - i}{i} (-a)^i x^{n-2i},$$

and  $D_{0,k}(x, a) = 2 - k$ .

Q. Wang, J. L. Yucas, *Dickson polynomials over finite fields*, Finite Fields Appl. **18** (2012), 814 – 831.

## Background (contd.)

For  $a \in \mathbb{F}_q$ , the  $n$ -th reversed Dickson polynomial of the  $(k + 1)$ -th kind  $D_{n,k}(a, x)$  is defined by

$$D_{n,k}(a, x) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n - ki}{n - i} \binom{n - i}{i} (-x)^i a^{n-2i},$$

and  $D_{0,k}(a, x) = 2 - k$ .

Q. Wang, J. L. Yucas, *Dickson polynomials over finite fields*, Finite Fields Appl. **18** (2012), 814 – 831.



# Reversed Dickson Polynomials of the $(k + 1)$ -th kind

For  $a \in \mathbb{F}_q$ , the  $n$ -th reversed Dickson polynomial of the  $(k + 1)$ -th kind  $D_{n,k}(a, x)$  is defined by

$$D_{n,k}(a, x) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n - ki}{n - i} \binom{n - i}{i} (-x)^i a^{n-2i},$$

and  $D_{0,k}(a, x) = 2 - k$ .

I am primarily interested in the question: When is  $D_{n,k}(a, x)$  a PP of  $\mathbb{F}_q$ ?

- $D_{n,0}(a, x) = D_n(a, x)$  and  $D_{n,1}(a, x) = E_n(a, x)$ .
- Only need to consider  $0 \leq k \leq p - 1$ .

$$D_{n,k}(a, x) = kE_n(a, x) - (k - 1)D_n(a, x).$$

## $D_{n,k}(a, x)$ in characteristic 2

$$D_{n,k}(a, x) = kE_n(a, x) - (k - 1)D_n(a, x).$$

$$D_{n,k}(a, x) = \begin{cases} E_n(a, x) & \text{if } k \text{ is odd,} \\ D_n(a, x) & \text{if } k \text{ is even.} \end{cases}$$

- Hereafter always assume, unless specified, in this talk that  $p$  is odd.

# Outline of the rest of the talk

1. The case  $a = 0$
2. Some general properties of  $D_{n,k}(a, x)$ .
3. The case  $n = p^\ell$ , where  $\ell \geq 0$  is an integer.
4. The case  $n = p^\ell + 1$ , where  $\ell \geq 0$  is an integer.
5. The case  $n = p^\ell + 2$ , where  $\ell \geq 0$  is an integer.
6. An explicit expression for  $D_{n,k}(1, x)$ .
7. The sum  $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ .
8. The case  $n = p^\ell + 3$ , where  $\ell \geq 0$  is an integer.
9. A generalization to  $n = p^{\ell_1} + p^{\ell_2} + \dots + p^{\ell_i}$ .
10. Permutation behaviour of  $D_{p^{\ell_1} + p^{\ell_2}, k}$ .
11. More results on  $D_{n,k}(1, x)$ .
12. A Matrix Form of  $D_{n,k}(1, x)$ .

# The case $a = 0$

$$D_{n,k}(0, x) = \begin{cases} 0 & \text{if } n \text{ is odd,} \\ (2 - k)(-x)^\ell & \text{if } n = 2\ell. \end{cases}$$

## Theorem

When  $a = 0$ ,  $D_{n,k}(a, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $k \neq 2$  and  $n = 2\ell$  with  $(\ell, q - 1) = 1$ .

- Hereafter, assume that  $a \in \mathbb{F}_q^*$ .

# Why only consider $a = 1$ ?

Let  $a \in \mathbb{F}_q^*$ . Then it follows from the definition that

$$D_{n,k}(a, x) = a^n D_{n,k}\left(1, \frac{x}{a^2}\right).$$

$D_{n,k}(a, x)$  is a PP on  $\mathbb{F}_q$  if and only if  $D_{n,k}(1, x)$  is a PP on  $\mathbb{F}_q$ .

# Functional expression

$$D_{n,k}(a, x) = k \left[ \frac{y^{n+1} - (a-y)^{n+1}}{2y-a} \right] - (k-1)\{y^n + (a-y)^n\},$$

where  $y \neq \frac{a}{2}$ . This can be simplified to

$$D_{n,k}(a, x) = k \left[ \frac{y^n(a-y) - y(a-y)^n}{2y-a} \right] + D_n(a, x).$$

# Functional expression with $a = 1$

Let  $x = y(1 - y)$ . The functional expression can be written as

$$D_{n,k}(1, y(1 - y)) = k \left[ \frac{y^n(1 - y) - y(1 - y)^n}{2y - 1} \right] + D_n(1, y(1 - y)),$$

where  $y \neq \frac{1}{2}$ .

$$\text{When } y = \frac{1}{2}, \quad D_{n,k}\left(1, \frac{1}{4}\right) = \frac{k(n-1)+2}{2^n}.$$

if  $n_1 \equiv n_2 \pmod{q^2 - 1}$ , then  $D_{n_1,k}(1, x) = D_{n_2,k}(1, x)$  for any  $x \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ .

## Proposition

*Let  $p$  be an odd prime and  $n$  be a non-negative integer. Then*

$$D_{0,k}(1, x) = 2 - k, \quad D_{1,k}(1, x) = 1, \quad \text{and}$$

$$D_{n,k}(1, x) = D_{n-1,k}(1, x) - x D_{n-2,k}(1, x), \quad \text{for } n \geq 2.$$



# The Case $n = p^\ell$ , $\ell \geq 0$ is an integer

Let  $x = y(1 - y)$ . When  $y \neq \frac{1}{2}$ ,

$$\begin{aligned} D_{p^\ell, k}(1, y(1 - y)) &= k \left[ \frac{y^{p^\ell}(1 - y) - y(1 - y)^{p^\ell}}{2y - 1} \right] + D_{p^\ell}(1, y(1 - y)) \\ &= \frac{k}{2} \left( (2y - 1)^2 \right)^{\frac{p^\ell - 1}{2}} + 1 - \frac{k}{2} \end{aligned}$$

Note that  $(2y - 1)^2 = 1 - 4x$ . Hence,

$$D_{p^\ell, k}(1, x) = \frac{k}{2} (1 - 4x)^{\frac{p^\ell - 1}{2}} + 1 - \frac{k}{2}$$

# The case $n = p^\ell$ , $\ell \geq 0$ is an integer (contd.)

When  $y = \frac{1}{2}$ ,

$$D_{p^\ell, k}\left(1, \frac{1}{4}\right) = \frac{k(p^\ell - 1) + 2}{2p^\ell} = \frac{2 - k}{2} = 1 - \frac{k}{2} = \frac{k}{2}(1 - 4x)^{\frac{p^\ell - 1}{2}} + 1 - \frac{k}{2}.$$

Hence for all  $x \in \mathbb{F}_q$ , we have

$$D_{p^\ell, k}(1, x) = \frac{k}{2}(1 - 4x)^{\frac{p^\ell - 1}{2}} + 1 - \frac{k}{2}$$

# Theorem

Consider

$$D_{p^\ell, k}(1, x) = \frac{k}{2} (1 - 4x)^{\frac{p^\ell - 1}{2}} + 1 - \frac{k}{2}.$$

## Theorem

Let  $0 < \ell \leq e$ . Then  $D_{3^\ell, k}(1, x)$  is a PP of  $\mathbb{F}_{3^e}$  if and only if  $k \neq 0$  and  $(\frac{3^\ell - 1}{2}, 3^e - 1) = 1$ . Also,  $D_{p^\ell, k}(1, x)$  is not a PP of  $\mathbb{F}_{p^e}$  when  $p > 3$ .

The case  $n = p^\ell + 1$ ,  $\ell \geq 0$  is an integer

For all  $x \in \mathbb{F}_q$ , we have

$$D_{p^\ell+1,k}(1, x) = \left(\frac{1}{2} - \frac{k}{4}\right) (1 - 4x)^{\frac{p^\ell+1}{2}} + \frac{k}{4} (1 - 4x)^{\frac{p^\ell-1}{2}} + \frac{1}{2}.$$

### Theorem

Let  $k = 2$ . Then  $D_{p^\ell+1,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $\left(\frac{p^\ell-1}{2}, q-1\right) = 1$ .

### Theorem

Let  $n = p^\ell + 1$  and  $k \neq 0, 2$ . Then  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $\ell = 0$ .

### Remark

Let  $k = 0$ . Then,  $D_{p^\ell+1,k}(1, x) = \frac{1}{2} (1 - 4x)^{\frac{p^\ell+1}{2}} + \frac{1}{2}$  which is a PP of  $\mathbb{F}_q$  if and only if  $\left(\frac{p^\ell+1}{2}, q-1\right) = 1$ .

The case  $n = p^\ell + 2$ ,  $\ell \geq 0$  is an integer

For all  $x \in \mathbb{F}_q$ , we have

$$D_{p^\ell+2,k}(1, x) = \frac{1}{2} (1 - 4x)^{\frac{p^\ell+1}{2}} + \frac{k}{2} x (1 - 4x)^{\frac{p^\ell-1}{2}} - \left(1 - \frac{k}{2}\right)x + \frac{1}{2}.$$

### Remark

Let  $k = 0$  and  $\ell = e$ . Then we have

$$D_{p^e+2,0}(1, x) = \frac{1}{2} (1 - 4x)^{\frac{p^e+1}{2}} - x + \frac{1}{2}$$

which is a PP of  $\mathbb{F}_{p^e}$  if and only if  $p^e \equiv 1 \pmod{3}$ .

Let  $u = 1 - 4x$ . Then we have

$$D_{p^\ell+2,k}(1, x) = \left(\frac{1}{2} - \frac{k}{8}\right) u^{\frac{p^\ell+1}{2}} + \frac{k}{8} u^{\frac{p^\ell-1}{2}} + \left(1 - \frac{k}{2}\right) \frac{u}{4} + \frac{k}{8} + \frac{1}{4}$$

The case  $n = p^\ell + 2$ ,  $\ell \geq 0$  is an integer (contd.)

$$D_{p^\ell+2,k}(1, x) = \left(\frac{1}{2} - \frac{k}{8}\right) u^{\frac{p^\ell+1}{2}} + \frac{k}{8} u^{\frac{p^\ell-1}{2}} + \left(1 - \frac{k}{2}\right) \frac{u}{4} + \frac{k}{8} + \frac{1}{4}$$

### Theorem

Let  $k = 2$ . Then  $D_{p^\ell+2,k}(1, x) = x^{\frac{p^\ell+1}{2}} + x^{\frac{p^\ell-1}{2}}$  is a PP of  $\mathbb{F}_q$  if and only if  $\ell = 0$ .

### Theorem

Let  $p > 3$  and  $k = 4$ . Then  $D_{p^\ell+2,k}(1, x) = x^{\frac{p^\ell-1}{2}} - \frac{1}{2}x$  is a PP of  $\mathbb{F}_q$  if and only if  $\ell = 0$ .

### Theorem

Let  $n = p^\ell + 2$  and  $k \neq 0, 2, 4$ . Then  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if the trinomial  $(4 - k)x^{\frac{p^\ell+1}{2}} + kx^{\frac{p^\ell-1}{2}} + (2 - k)x$  is a PP of  $\mathbb{F}_q$ .

## Theorem

Let  $p$  be an odd prime. Then  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if the function

$$y \mapsto k \frac{y^n(1-y) - y(1-y)^n}{2y-1} + y^n + (1-y)^n$$

is a 2-to-1 mapping on  $(\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$  and

$$k \frac{y^n(1-y) - y(1-y)^n}{2y-1} + y^n + (1-y)^n \neq \frac{k(n-1) + 2}{2^n}$$

for any  $y \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ .

# An explicit expression for $D_{n,k}(1, x)$

For  $n \geq 1$ , define

$$f_{n,k}(x) = k \sum_{j \geq 0} \binom{n-1}{2j+1} (x^j - x^{j+1}) + 2 \sum_{j \geq 0} \binom{n}{2j} x^j \in \mathbb{Z}[x],$$

and

$$f_{0,k}(x) = 2 - k.$$

Then for  $n \geq 0$

$$D_{n,k}(1, x) = \left(\frac{1}{2}\right)^n f_{n,k}(1 - 4x).$$



# An explicit expression for $D_{n,k}(1, x)$ (contd.)

Let  $p$  be an odd prime and  $n > 0$  be an integer. Then in  $\mathbb{F}_q[x]$ ,

$$D_{n,k}(1, x) = \left(\frac{1}{2}\right)^n f_{n,k}(1 - 4x).$$

In particular,  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $f_{n,k}(x)$  is a PP of  $\mathbb{F}_q$ .

- Self-reciprocal property of  $f_{n,k}$  is completely explained in N. F., *Self-reciprocal polynomials and coterm polynomials*, Designs, Codes and Cryptography (2018), Available Online.

# The generating function

The generating function of  $D_{n,k}(1, x)$  is given by

$$\sum_{n=0}^{\infty} D_{n,k}(1, x) z^n = \frac{2 - k + (k - 1)z}{1 - z + xz^2}.$$

# Computation of $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$

$$\sum_{n=0}^{\infty} D_{n,k}(1, x) z^n \equiv \frac{2 - k + (k - 1)z}{1 - z} \left[ 1 + \sum_{m=1}^{q-1} \frac{(z - 1)^{q-1-m} z^{2m}}{(z - 1)^{q-1} - z^{2(q-1)}} x^m \right] \pmod{x^q - x}$$

Since  $D_{n_1,k}(1, x) = D_{n_2,k}(1, x)$  for any  $x \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$  when  $n_1, n_2 > 0$  and  $n_1 \equiv n_2 \pmod{q^2 - 1}$ , we have the following for all  $x \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ .

$$\sum_{n \geq 0} D_{n,k}(1, x) z^n = 2 - k + \frac{1}{1 - z^{q^2-1}} \sum_{n=1}^{q^2-1} D_{n,k}(1, x) z^n$$

# Computation of $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ (contd.)

$$\sum_{n=1}^{q^2-1} D_{n,k}(1, x) z^n = \frac{z(z^{q^2-1} - 1)}{z - 1} + h(z) \sum_{m=1}^{q-1} (z - 1)^{q-1-m} z^{2m} x^m$$

for all  $x \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ ,

where

$$h(z) = \frac{[2 - k + (k - 1)z](-1 - (z - z^q)^{q-1})}{z^q - z^{q-1} - 1}.$$

Write

$$h(z) = \frac{1}{z^q - z^{q-1} - 1} \sum_{j=0}^{q^2-q+1} b_j z^j.$$

# Computation of $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ (contd.)

Write  $j = \alpha + \beta q$  where  $0 \leq \alpha, \beta \leq q - 1$ . Then we have the following.

$$b_j = \begin{cases} (-1)^{\beta+1} (2 - k) \binom{q-1}{\beta} & \text{if } \alpha + \beta = q - 1, \\ (-1)^{\beta+1} (k - 1) \binom{q-1}{\beta} & \text{if } \alpha + \beta = q, \\ 1 - k & \text{if } \alpha + \beta = 1, \\ k - 2 & \text{if } \alpha + \beta = 0, \\ 0 & \text{otherwise.} \end{cases}$$

# Computation of $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ (contd.)

$$\sum_{n=1}^{q^2-1} \left( \sum_{a \in \mathbb{F}_q} D_{n,k}(1, a) \right) z^n = \sum_{n=1}^{q^2-1} \frac{k(n-1)+2}{2^n} z^n - \frac{z(1-z^{q^2-1})}{1-z} - h(z) z^{2(q-1)} \\ - h(z) \sum_{m=1}^{q-1} (z-1)^{q-1-m} z^{2m} \left(\frac{1}{4}\right)^m,$$

$$(z^q - z^{q-1} - 1) \sum_{n=1}^{q^2-1} \left[ \left( \sum_{a \in \mathbb{F}_q} D_{n,k}(1, a) \right) - \left( \frac{k(n-1)+2}{2^n} \right) \right] z^n \\ = (1 + z^{q-1} - z^q) \sum_{i=1}^{q^2-1} z^i - \left( z^{2(q-1)} + \sum_{m=1}^{q-1} (z-1)^{q-1-m} z^{2m} \left(\frac{1}{4}\right)^m \right) \left( \sum_{j=0}^{q^2-q+1} b_j z^j \right)$$

$$(z^q - z^{q-1} - 1) \sum_{n=1}^{q^2-1} \left[ \left( \sum_{a \in \mathbb{F}_q} D_{n,k}(1, a) \right) - \left( \frac{k(n-1)+2}{2^n} \right) \right] z^n = \sum_{i=1}^{q^2+q-1} c_i z^i$$

# Theorem

Let  $c_j$  be defined as on the previous slide for  $1 \leq j \leq q^2 + q - 1$ . Then we have the following.

$$\sum_{a \in \mathbb{F}_q} D_{j,k}(1, a) = -c_j + \frac{k(j-1) + 2}{2^j} \text{ if } 1 \leq j \leq q-1;$$

$$\sum_{a \in \mathbb{F}_q} D_{q,k}(1, a) = c_1 - c_q + \frac{2-k}{2^q};$$

$$\begin{aligned} \sum_{a \in \mathbb{F}_q} D_{\ell q+j,k} &= \sum_{a \in \mathbb{F}_q} D_{(\ell-1)q+j,k} - \sum_{a \in \mathbb{F}_q} D_{(\ell-1)q+j+1,k} - c_{\ell q+j} \\ &+ \frac{(kj+2)(1-2^q+2^{q-1}) + k(2^q-1)}{2^{\ell q+j}} \text{ if } 1 \leq \ell \leq q-2 \text{ and } 1 \leq j \leq q-1; \end{aligned}$$

$$\begin{aligned} \sum_{a \in \mathbb{F}_q} D_{\ell q,k} &= \sum_{a \in \mathbb{F}_q} D_{(\ell-1)q,k} - \sum_{a \in \mathbb{F}_q} D_{(\ell-1)q+1,k} - c_{\ell q} + \frac{(k-2)(2^q-1) + 2^q}{2^{\ell q}} \text{ if} \\ 2 \leq \ell \leq q-2; \end{aligned}$$

$$\sum_{a \in \mathbb{F}_q} D_{q^2-q+j,k} = \sum_{i=j}^{q-1} c_{q^2+i} + \frac{k(j-1) + 2}{2^{q^2-q+j}} \text{ if } 0 \leq j \leq q-1.$$

## For further details

N. F., *Reversed Dickson polynomials of the  $(k + 1)$ -th kind over finite fields*, J. Number Theory **172** (2017), 234 – 255.



# Remember this Theorem?

## Theorem

Let  $n = p^\ell + 2$  and  $k \neq 0, 2, 4$ . Then  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if the trinomial  $(4 - k)x^{\frac{p^\ell+1}{2}} + kx^{\frac{p^\ell-1}{2}} + (2 - k)x$  is a PP of  $\mathbb{F}_q$ .

Xiang-dong Hou asked me “when is the trinomial above a PP of  $\mathbb{F}_q$ ?”

## Theorem

Let  $p > 3$  be an odd prime and  $q = p^e$ , where  $e$  is a positive integer. Let  $k$  be an integer such that  $k \neq 0, 2, 4$  and  $0 \leq k \leq p - 1$ . Let

$$f(x) = (4 - k)x^{\frac{p^\ell + 1}{2}} + kx^{\frac{p^\ell - 1}{2}} + (2 - k)x.$$

Then  $f(x)$  is a PP of  $\mathbb{F}_q$  if and only if  $\ell = 0$  and  $k \neq 3$ .

## My answer (contd.)

Let  $p = 3$ . Since  $k \neq 0, 2$ , we have  $k = 1$ . Then

$$f(x) = (4 - k)x^{\frac{p^\ell + 1}{2}} + kx^{\frac{p^\ell - 1}{2}} + (2 - k)x = x^{\frac{p^\ell - 1}{2}} + x.$$

### Theorem

Let  $p = 3$  and  $q = 3^e$ , where  $e$  is a positive integer. Let

$$f(x) = x^{\frac{p^\ell - 1}{2}} + x.$$

Then  $f(x)$  is a PP of  $\mathbb{F}_q$  if and only if

- (i)  $\ell = 0$ , or
- (ii)  $\ell = me + 1$ , where  $m$  is a non-negative even integer.

# For further details

N. F., *A note on permutation binomials and trinomials over finite fields*, to appear in *New Zealand Journal of Mathematics*.

# The Case $n = p^\ell + 3$

$D_{p^\ell+3,k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $f(x)$  is a PP of  $\mathbb{F}_{p^e}$ , where

$$f(x) = (2 - k)x^{\frac{p^\ell+3}{2}} + 6x^{\frac{p^\ell+1}{2}} + kx^{\frac{p^\ell-1}{2}} + 2(3 - k)x.$$

# The Case $n = p^\ell + 3$ with $p = 3$

In this case,  $k = 0, 1$ , or  $2$ .

## Theorem

$D_{3^\ell+3,0}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $\gcd(\frac{3^\ell+3}{2}, 3^e - 1) = 1$ .

## Theorem

$D_{3^\ell+3,1}(1, x)$  is not a PP of  $\mathbb{F}_{p^e}$ .

## Theorem

$D_{3^\ell+3,2}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if

- (i)  $\ell = 0$ , or
- (ii)  $\ell = me + 1$ , where  $m$  is a non-negative even integer.

# The Case $n = p^\ell + 3$ with $p > 3$

## Theorem

Let  $p > 5$  and  $k = 2$ . Assume that  $(-\frac{1}{4})$  is a quadratic residue of  $p$ . Then  $D_{p^\ell+3,k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $\ell = 0$ .

## Remark

$D_{p^\ell+3,k}(1, x)$  is not a PP of  $\mathbb{F}_{p^e}$  when  $p = 5$  and  $k = 2$ .

## Theorem

Let  $p > 5$ ,  $k = 2$ , and  $\ell > 0$ . Assume that  $(-\frac{1}{4})$  is a quadratic non-residue of  $p$ . Then  $D_{p^\ell+3,k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $3x^{\frac{p^\ell+1}{2}} + x^{\frac{p^\ell-1}{2}} + x$  is a PP of  $\mathbb{F}_{p^e}$ .

# The Case $n = p^\ell + 3$ with $p > 3$ (contd.)

## Theorem

Let  $p > 5$ ,  $k = 0$ , and  $-6$  be a quadratic non-residue of  $p$ . Then  $D_{p^\ell+3,k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $x^{\frac{p^\ell+3}{2}} + 3x^{\frac{p^\ell+1}{2}} + 3x$  is a PP of  $\mathbb{F}_{p^e}$ .

## Remark

Let  $p > 7$ ,  $k = 7$ . Then  $D_{p^\ell+3,k}(1, x)$  is not a PP of  $\mathbb{F}_{p^e}$ .

## Remark

Let  $p > 5$ ,  $k = 0$ , and  $-6$  be a quadratic residue of  $p$ . Then  $D_{p^\ell+3,k}(1, x)$  is not a PP of  $\mathbb{F}_{p^e}$ .



# The Case $n = p^\ell + 3$ with $p > 3$ (contd.)

## Theorem

Assume that

1.  $p = 5$  and  $k \neq 2$ ,
2.  $p > 5$  and  $k \neq 0, 2$ , or
3.  $p > 7$  and  $k \neq 7$ .

Then  $D_{p^\ell+3,k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if

$$(2 - k)x^{\frac{p^\ell+3}{2}} + 6x^{\frac{p^\ell+1}{2}} + kx^{\frac{p^\ell-1}{2}} + 2(3 - k)x$$

is a PP of  $\mathbb{F}_{p^e}$ .

1.  $n = p^{\ell_1} + p^{\ell_2} + p^{\ell_3}$
2.  $n = p^{\ell_1} + p^{\ell_2} + p^{\ell_3} + p^{\ell_4}$

How about a generalization to  $n = p^{\ell_1} + p^{\ell_2} + \dots + p^{\ell_i}$ ?

# A Generalization

**Case 1.** Let  $i$  be odd and  $n = p^{\ell_1} + p^{\ell_2} + \dots + p^{\ell_i}$ . For all  $x \in \mathbb{F}_q$ , we have

$$\begin{aligned} D_{n,k}(1, x) &= \frac{k}{2^i} (1 - 4x)^{\frac{p^{\ell_1} + p^{\ell_2} + \dots + p^{\ell_i} - 1}{2}} + \frac{(2-k)}{2^i} \sum_{j_1, j_2, \dots, j_{i-1} \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1 - 4x)^{\frac{p^{j_1} + p^{j_2} + \dots + p^{j_{i-1}}}{2}} \\ &+ \frac{k}{2^i} \sum_{j_1, j_2, \dots, j_{i-2} \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1 - 4x)^{\frac{p^{j_1} + p^{j_2} + \dots + p^{j_{i-2}} - 1}{2}} \\ &+ \frac{(2-k)}{2^i} \sum_{j_1, j_2, \dots, j_{i-3} \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1 - 4x)^{\frac{p^{j_1} + p^{j_2} + \dots + p^{j_{i-3}}}{2}} + \dots \\ &+ \frac{(2-k)}{2^i} \sum_{j_1, j_2 \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1 - 4x)^{\frac{p^{j_1} + p^{j_2}}{2}} + \frac{k}{2^i} \sum_{j_1 \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1 - 4x)^{\frac{p^{j_1} - 1}{2}} + \frac{(2-k)}{2^i}. \end{aligned}$$

# A Generalization (contd.)

**Case 2.** Let  $i$  be even and  $n = p^{\ell_1} + p^{\ell_2} + \dots + p^{\ell_i}$ . For all  $x \in \mathbb{F}_q$ , we have

$$\begin{aligned} D_{n,k}(1, x) &= \frac{(2-k)}{2^i} (1-4x)^{\frac{p^{\ell_1} + p^{\ell_2} + \dots + p^{\ell_i}}{2}} + \frac{k}{2^i} \sum_{j_1, j_2, \dots, j_{i-1} \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1-4x)^{\frac{p^{j_1} + p^{j_2} + \dots + p^{j_{i-1}} - 1}{2}} \\ &+ \frac{(2-k)}{2^i} \sum_{j_1, j_2, \dots, j_{i-2} \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1-4x)^{\frac{p^{j_1} + p^{j_2} + \dots + p^{j_{i-2}} - 2}{2}} \\ &+ \frac{k}{2^i} \sum_{j_1, j_2, \dots, j_{i-3} \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1-4x)^{\frac{p^{j_1} + p^{j_2} + \dots + p^{j_{i-3}} - 3}{2}} + \dots \\ &+ \frac{(2-k)}{2^i} \sum_{j_1, j_2 \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1-4x)^{\frac{p^{j_1} + p^{j_2}}{2}} + \frac{k}{2^i} \sum_{j_1 \in \{\ell_1, \ell_2, \dots, \ell_i\}} (1-4x)^{\frac{p^{j_1} - 1}{2}} + \frac{(2-k)}{2^i}. \end{aligned}$$

# Permutation behaviour of $D_{p^{\ell_1+p^{\ell_2}},k}$

$$D_{p^{\ell_1+p^{\ell_2}},k}(1,x) = \frac{(2-k)}{4} (1-4x)^{\frac{p^{\ell_1+p^{\ell_2}}}{2}} + \frac{k}{4} (1-4x)^{\frac{p^{\ell_1}-1}{2}} + \frac{k}{4} (1-4x)^{\frac{p^{\ell_2}-1}{2}}.$$

## Corollary

Let  $k = 0$ . Then  $D_{p^{\ell_1+p^{\ell_2}},k}(1,x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $\gcd(\frac{p^{\ell_1+p^{\ell_2}}}{2}, p^e - 1) = 1$ .

## Corollary

Let  $p = 3$  and  $k = 2$ . Assume that both  $\ell_1$  and  $\ell_2$  are odd. Then  $D_{p^{\ell_1+p^{\ell_2}},k}(1,x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if the binomial  $x^{\frac{p^{\ell_1}-1}{2}} + x^{\frac{p^{\ell_2}-1}{2}}$  is a PP of  $\mathbb{F}_q$ .

## Theorem

Let  $p > 3$  and  $k = 2$ . Then  $D_{p^{\ell_1+p^{\ell_2}},k}(1,x)$  is not a PP of  $\mathbb{F}_{p^e}$ .

# Permutation behaviour of $D_{p^{\ell_1+p^{\ell_2}},k}$ (contd.)

## Corollary

Let  $k \neq 0, 2$  and  $p > 3$ . Assume that  $\frac{2k}{(k-2)}$  is a quadratic residue of  $p$ . Then  $D_{p^{\ell_1+p^{\ell_2}},k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if  $\ell_1 = \ell_2 = 0$ .

## Corollary

Let  $k \neq 0, 2$  and  $p > 3$ . Assume that  $\frac{2k}{(k-2)}$  is a quadratic non-residue of  $p$ . Then  $D_{p^{\ell_1+p^{\ell_2}},k}(1, x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if the trinomial  $(2-k)x^{\frac{p^{\ell_1+p^{\ell_2}}}{2}} + kx^{\frac{p^{\ell_1}-1}{2}} + kx^{\frac{p^{\ell_2}-1}{2}}$  is a PP of  $\mathbb{F}_{p^e}$ .

# More results on $D_{n,k}(1, x)$

## Lemma

Let  $\ell$  be a positive odd integer and let  $n = \frac{3^\ell + 1}{2}$ . Then in  $\mathbb{F}_3[x]$ ,

$$D_{n,k}(1, 1 - x^2) = \left(\frac{k}{2} - 1\right) D_n(x, 1) + \frac{k}{2} \frac{D_{n-1}(x, 1)}{x}.$$

## Remark

This result generalizes Lemma 5.5 in X. Hou, G. L. Mullen, J. A. Sellers, J. L. Yucas, *Reversed Dickson polynomials over finite fields*, *Finite Fields Appl.* **15** (2009), 748 – 773..

## More results on $D_{n,k}(1, x)$ (contd.)

For all  $x \in \mathbb{F}_q$  we have

$$D_{n,k}(1, x) = kx D_{n-2,1}(1, x) + D_n(1, x), \quad n \geq 2,$$

and

$$D_{n,k}(1, x) = kx D_{n-1,2}(1, x) + D_n(1, x), \quad n \geq 1.$$



## Proposition

*Let  $p$  be an odd prime and  $n$  be a non-negative integer. Then*

$$D_{0,k}(1, x) = 2 - k, \quad D_{1,k}(1, x) = 1, \quad \text{and}$$

$$D_{n,k}(1, x) = D_{n-1,k}(1, x) - x D_{n-2,k}(1, x), \quad \text{for } n \geq 2.$$

## A Matrix Form of $D_{n,k}(1, x)$

$$D_{n,k}(1, x) = (2 - k, 1) \begin{pmatrix} 0 & -x \\ 1 & 1 \end{pmatrix}^n \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

# For further details

N. F., *Reversed Dickson polynomials of the  $(k + 1)$ -th kind over finite fields, II*, submitted for publication. arXiv:1706.01391

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