

Math in the Mountains Toric Varieties Tutorial  
July 29 - 31, 2013

*Exercises on Toric Varieties*

1. Show that the rational quartic in  $\mathbf{P}^3$  corresponding to  $\mathcal{A} = \{(4, 0), (3, 1), (1, 3), (0, 4)\}$  is not projectively normal (Harshorne, I.3.18)
2. Show that the tetrahedron  $P = \text{conv}\{0, e_1, e_2, e_1 + e_2 + 2e_3\} \subset \mathbf{R}^3$  is not normal. (A lattice polytope  $P \subset M_{\mathbf{R}}$  is normal if  $(kP \cap M) + (\ell P \cap M) = (k + \ell)P \cap M$  for all  $k, \ell \in \mathbf{N}$ .)
3. Show that the complete fan  $\Sigma$  in  $N_{\mathbf{R}} \simeq \mathbf{R}^2$  with rays  $\mathbf{R}_{\geq 0}e_1$ ,  $\mathbf{R}_{\geq 0}e_2$ , and  $\mathbf{R}_{\geq 0}(-e_1 - e_2)$  gives  $X_{\Sigma} = \mathbf{P}^2$ .
4. Identify the toric surface given by the complete fans with rays  $\pm\mathbf{R}_{\geq 0}e_1$ ,  $\pm\mathbf{R}_{\geq 0}e_2$  (four 2-dimensional cones)
5. Identify the toric surface given by the complete fans with rays  $\mathbf{R}_{\geq 0}e_1$ ,  $\pm\mathbf{R}_{\geq 0}e_2$ , and  $\pm\mathbf{R}_{\geq 0}(-e_1 + ae_2)$  where  $a \geq 1$  (four 2-dimensional cones)
6. Show using the toric description that  $\text{Cl}(\mathbf{P}^1) = \mathbf{Z}$ .
7. Complete the proof that we have an exact sequence for the class group of  $X_{\Sigma}$ :

$$M \rightarrow \mathbf{Z}^{|\Sigma(1)|} \rightarrow \text{Cl}(X_{\Sigma}) \rightarrow 0,$$

where the first map is given by the integer matrix whose rows are the primitive generators of the rays of  $\Sigma$ .

8. (Toric surfaces, Riemann-Roch, etc.) The Riemann-Roch theorem on a smooth algebraic surface  $X$  can be written as

$$(1) \quad \chi(\mathcal{O}_X(D)) = \frac{D(D - K_X)}{2} + \chi(\mathcal{O}_X),$$

where  $D$  is a divisor on  $X$ ,  $K_X$  is the canonical divisor, and

$$\chi(\mathcal{F}) = h^0(\mathcal{F}) - h^1(\mathcal{F}) + h^2(\mathcal{F})$$

is the Euler characteristic (there would be additional terms if  $\dim X > 2$ ).

- a. Given a lattice polytope  $P$  in  $\mathbf{Z}^2$ , show how to use the facet description of  $P$  to find  $D$  and  $\Sigma$  such that a basis for  $H^0(\mathcal{O}_{X_{\Sigma}}(D))$  is given by  $P \cap \mathbf{Z}^2$ .
- b. Show that in this case  $\chi(\mathcal{O}_{X_{\Sigma}}(D)) = |P \cap \mathbf{Z}^2|$  and  $\chi(\mathcal{O}_{X_{\Sigma}}) = 1$  (Hint: Demazure + support function.)
- c. Replace  $D$  with  $nD$  in the formula (1) above to get

$$h^0(\mathcal{O}_{X_{\Sigma}}(nD)) = \frac{D^2}{2}n^2 - \frac{DK}{2}n + 1$$

- d. Pick's formula for a lattice polygon implies

$$|nP \cap \mathbf{Z}^2| = \text{Area}(P)n^2 + \frac{|\partial P \cap \mathbf{Z}^2|}{2}n + 1.$$

Check this for the triangle  $P = \text{conv}\{(0, 0), (2, 0), (0, 2)\}$ .

- e. Conclude: We can compute  $D^2$  and  $DK$  from purely combinatorial data from  $P$  for a toric surface. In fact  $K_{X_\Sigma} = -\sum_{\rho \in \Sigma(1)} D_\rho$  when  $X_\Sigma$  is smooth.

### Exercises on Algebraic Statistics Topics

1. Give an integer matrix  $\mathcal{A}$  such that the corresponding toric model coincides with the  $3 \times 3$  independence model. Generalize to obtain a matrix corresponding to the  $k \times \ell$  independence model for all  $k, \ell \geq 2$ .
2. For the Jukes-Cantor model,
  - a. Compute  $P(ACG)$ ,  $P(AAC)$ ,  $P(ACA)$  and  $P(CAA)$ . Explain where the  $p_{dis}, p_{ij}$  polynomials come from and why every component of the full Jukes-Cantor model can be expressed using just these 5 distinct polynomials.
  - b. Verify that  $p_{123} + p_{dis} + p_{12} + p_{13} + p_{23} = 1$ .
  - c. Show that

$$\begin{aligned} q_{111} &= (\theta_1 - \pi_1)(\theta_2 - \pi_2)(\theta_3 - \pi_3) \\ q_{110} &= (\theta_1 - \pi_1)(\theta_2 - \pi_2)(\theta_3 + 3\pi_3) \\ q_{101} &= (\theta_1 - \pi_1)(\theta_2 + 3\pi_2)(\theta_3 - \pi_3) \\ q_{011} &= (\theta_1 + 3\pi_1)(\theta_2 - \pi_2)(\theta_3 - \pi_3) \\ q_{000} &= (\theta_1 + 3\pi_1)(\theta_2 + 3\pi_2)(\theta_3 + 3\pi_3) \end{aligned}$$

are linear combinations of  $p_{123}, p_{dis}, p_{ij}$  (and monomials in linear combinations of the original parameters).

- d. What is the corresponding toric variety for this reparametrized model?
3. Let  $\mathcal{A}$  be any integer matrix with equal column sums. Let  $\varphi$  be the parametrization of the corresponding toric model. Write  $\hat{p}$  for  $\varphi(\hat{\theta})$ , where  $\hat{\theta}$  is the MLE for  $\theta$ . Show using the method of Lagrange multipliers that if  $L$  is the likelihood function for given data  $u$ , then

$$L \cdot b = L \cdot Au = \lambda \cdot A\hat{p}$$

### Exercises on Geometric Modeling Topics

1. Show that for all choices of the control points  $P_i \in \mathbf{R}^2$ , the Bézier cubic curve

$$b(t) = (x(t), y(t)) = \sum_{i=0}^3 \binom{3}{i} t^i (1-t)^{3-i} P_i$$

lies on an algebraic curve defined by an equation  $F(x, y) = 0$ , where  $F$  has total degree 3 in  $x, y$ . The curve  $C = V(F)$  is automatically rational ( $g(C) = 0$ ) because of this. Explain why this implies  $C$  must have a singular point (an ordinary node or cusp), possibly with coordinates in  $\mathbf{C}$ .

2. Some questions about toric surface patches.

a. Determine the Krasauskas toric blending functions for the triangle

$$\Delta_k = \text{conv}\{(0, 0), (k, 0), (0, k)\}$$

and show that the corresponding surface patch is a reparametrization of the Bézier triangle patch of order  $k$ .

b. Now consider the Krasauskas toric blending functions for the triangle

$$\Delta = \text{conv}\{(0, 0), (2, 0), (1, 1)\}$$

What happens on the image of a toric surface patch for this  $\Delta$  at the corner  $(1, 1)$ ?

c. Let  $P$  be a vertex of  $\Delta$ . The “corner triangle” of  $P$  is the triangle consisting of  $P$  and the nearest two lattice points on the edges adjacent to  $P$ . One of the results of [K] is that the image of  $P$  on the toric surface patch is nonsingular if and only if the corner triangle has area  $1/2$  (equivalently, the two vectors from  $P$  to the nearest lattice points on the adjacent edges form a lattice basis for  $\mathbf{Z}^2$ ). Prove this statement and identify the singularity if the corner triangle has area  $\ell/2$  for  $\ell > 1$ .

3. Let  $\mathcal{P}$  be a set of control points in  $\mathbf{R}^3$ . Show that the degree of the implicit equation defining an algebraic surface containing the image of  $b_{\mathcal{A}, w, \mathcal{P}}$  is at most

$$2 \cdot \text{Area}(\text{conv}(\mathcal{A}))$$

and equals this for generic choices of  $\mathcal{P}$ . (Hint: Use the BKK bound.)

4. What is the relation between the image of  $b_{\mathcal{A}, w, \mathcal{P}}$  and the image of  $b_{\mathcal{A}', w, \mathcal{P}'}$  if  $\mathcal{A}' \subset \mathcal{A}$  and  $\mathcal{P}'$  is the subset of  $\mathcal{P}$  corresponding to  $\mathcal{A}'$ ?

5. Let  $\Delta$  be a lattice polygon in the plane, let  $h_m$  be the equations of the edges using inward normals, and let  $H : \Delta \rightarrow \mathbf{R}^\ell$  be the map defined by the  $h_i$ . The toric blending functions come from composing this  $H$  with  $\chi : \mathbf{R}^\ell \rightarrow \mathbf{R}^\ell$  defined by

$$y \mapsto (y_1^{h_1(m)} \cdots y_\ell^{h_\ell(m)} : m \in \mathcal{A})$$

The toric surface patch is the composition  $\pi_{\mathcal{P}} \circ \chi \circ H$ , where  $\pi_{\mathcal{P}} : \mathbf{R}^\ell \rightarrow \mathbf{R}^3$  is the affine form of a linear projection defined by the set of control points. Show that the  $m$ -component of  $\chi(y)$  is just  $y^a z^m$ , where  $a = (a_1, \dots, a_\ell)$  comes from the constant terms, and  $z = (z_j)$  where

$$z_j = \prod_{i=1}^{\ell} y_i^{\langle \mathbf{v}_i, \mathbf{e}_j \rangle}, \quad j = 1, 2$$

6. Show that the toric surface patch for the triangle  $\text{conv}\{(0, 0), (k, 0), (0, k)\}$  has linear precision.

7. Exactly how does the set-up for Birch’s Theorem relate to the algebraic moment map for  $Y_{\mathcal{A}}$ ?