

# Codes from surfaces with small Picard number

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## Evaluation codes

- $X$  an algebraic variety over  $\mathbb{F}_q$ ,  $\mathcal{S} = \{P_1, \dots, P_n\} \subseteq X(\mathbb{F}_q)$ ,  $\mathcal{L}$  a vector space of functions on  $X$  with all  $f(P_i)$  defined.
- The image of the *evaluation map*

$$\begin{aligned} ev : \mathcal{L} &\rightarrow \mathbb{F}_q^n \\ f &\mapsto (f(P_1), \dots, f(P_n)) \end{aligned}$$

is a linear code;  $k \leq \dim \mathcal{L}$ ;  $d$  depends on  $X$ ,  $\mathcal{S}$ ,  $\mathcal{L}$ .

- *Reed-Solomon codes*  $RS(k, q)$  are examples with  $X = \mathbb{P}^1$ ,  $\mathcal{S} = \mathbb{F}_q^* \subset \mathbb{P}^1$ , and  $\mathcal{L} = \text{Span}\{1, x, \dots, x^{k-1}\} = L((k-1)P_\infty)$  ( $k < q$ ) (meet the Singleton bound).
- AG Goppa codes:  $\mathbb{P}^1 \mapsto$  other curves over  $\mathbb{F}_q$ .

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- But, still really not much known!
- We'll concentrate on  $X$  a projective surface and *Reed-Muller-type* codes with  $\mathcal{S} = X(\mathbb{F}_q)$ ,  $\mathcal{L} =$  vector space of homogeneous forms of some fixed degree  $s$ .

## Key issue with these codes; motivating example

- One recurrent pattern: Low weight codewords tend to come from  $f$  where  $X \cap \mathbf{V}(f)$  is *reducible* (possibly if  $q \gg 0$ ).
- Example:  $X$  a quadric surface in  $\mathbb{P}^3$ .
- If  $X$  is *hyperbolic*,  $|X(\mathbb{F}_q)| = q^2 + 2q + 1$ . Tangent planes intersect  $X$  in reducible curves with  $2q + 1$   $\mathbb{F}_q$ -points.
- But if  $X$  is *elliptic*, rulings not defined over  $\mathbb{F}_q$  so  $|X(\mathbb{F}_q)| = q^2 + 1$ , and planes meet  $X$  in curves with at most  $q + 1$   $\mathbb{F}_q$ -rational points.
- $s = 1$  codes with  $S = X(\mathbb{F}_q)$  have parameters:  $[q^2 + 2q + 1, 4, q^2]$  (hyperbolic) and  $[q^2 + 1, 4, q^2 - q]$  (elliptic – better – equals best known for  $q = 8, 9$ ).

## Ansatz from 2007 thesis of M. Zarzar (UT Austin)

- $NS(X)$  = group of  $\mathbb{F}_q$ -rational divisor classes modulo algebraic equivalence (a finitely-generated abelian group)
- Example:  $X$  an elliptic quadric:  $NS(X) = \mathbb{Z} \cdot [H]$ ,  $H$  = a smooth conic plane section;  $X$  a hyperbolic quadric:  $NS(X) = \mathbb{Z}[L_1] \oplus \mathbb{Z}[L_2]$  (lines from the two rulings).

### Theorem (Zarzar)

If  $\deg X = d$  with  $(d, \text{char}(\mathbb{F}_q)) = 1$ ,  $\text{rank}(NS(X)) = 1$ , and  $Y$  irreducible over  $\mathbb{F}_q$  with  $\deg Y < d$ , then  $X \cap Y$  is irreducible.

- So (**key idea**) – good codes (might) come from surfaces  $X$  with Picard number =  $\text{rank } NS(X) = 1$  (or small).

## A test case – cubic surface codes

- “Fact 1:” Over an algebraically closed field, a smooth cubic surface contains exactly 27 lines, always in a particular highly symmetric configuration.
- Symmetry group of the 27 lines is a group of order 51840 ( $= W(E_6)$ )
- Frobenius acts as a permutation of the lines
- There is a complete classification of the conjugacy classes in  $W(E_6)$ ; the class where Frobenius lies determines the  $\mathbb{F}_q$ -structure!
- The 25 possibilities summarized in a 1967 paper of Swinnerton-Dyer (and in a related table in Manin’s book *Cubic Forms*).

## An extract from the Swinnerton-Dyer table

*Exactly five types of cubics with Picard number = 1 ( $\Rightarrow$  no  $\mathbb{F}_q$ -rational lines or conics)*

Class	Perm	Type of Frob	$ X(\mathbb{F}_q) $
$C_{10}$		$\{3, 6^3, 6\}$	$q^2 - q + 1$
$C_{11}$		$\{3^9\}$	$q^2 - 2q + 1$
$C_{12}$		$\{3, 6^4\}$	$q^2 + 2q + 1$
$C_{13}$		$\{3, 12^3\}$	$q^2 + 1$
$C_{14}$		$\{9^3\}$	$q^2 + q + 1$

## Some experimental results

With  $q = 7$ , the  $s = 1$  (and  $s = 2$ ) codes look like this:

- $C_{10} - [43, 4, 30]$  and  $[43, 4, 31]$  examples (but best known is  $d = 35$ )
- $C_{11} - [36, 4, 23]$  and  $[36, 4, 24]$  examples (but best possible is  $28 \leq d \leq 29$ )
- $C_{12} - [64, 4, 51]$  examples (but best possible is  $52 \leq d \leq 53$ ) (also  $s = 2$  with  $[64, 10, 38]$ , but best possible is  $41 \leq d \leq 48$ )
- $C_{13}$  (very rare) -  $[50, 4, 37]$  (but best known is  $d = 42$ )
- $C_{14}$  (rare) -  $[57, 4, 44]$  (but best known is  $d = 47$ )

## What to make of all this?

- Among these,  $C_{12}$  cubics are the best for this construction, but still not that great
- Plane sections of cubics with Picard number  $> 1$  can have up to  $3q + 1$   $\mathbb{F}_q$ -points (Eckardt points as in Amanda Knecht's talk!) Largest number of  $\mathbb{F}_7$ -points here is e.g.,  $64 - 51 = 13$  ( $\Rightarrow$  confirmation of Zarzar's Ansatz)
- *Why 13?* Hasse-Weil-Serre bound: The maximum number of  $\mathbb{F}_7$ -points on a smooth plane cubic is  $1 + 7 + \lfloor 2\sqrt{7} \rfloor = 13$  and attained. Singular (but irreducible) plane sections all have either  $q = 7$  ("split" node),  $q + 1 = 8$  (cusp), or  $q + 2 = 9$  ("non-split" node)  $\mathbb{F}_7$ -points.
- Note: Some  $C_{10}$  and  $C_{11}$  surfaces have no plane sections with 13  $\mathbb{F}_7$ -points.

## A byproduct of this experimentation

Based on lots of additional experimental evidence for prime powers  $q \leq 37$ ,

### Conjecture

*For all  $q \geq 5$ ,  $C_{12}$  cubics always have **optimal** cubic plane sections, i.e. plane sections with the maximum number of  $\mathbb{F}_q$ -points for a smooth plane cubic curve.*

Have verified this completely for  $q$  up to 13 by “brute force,” but is there a deeper reason why it should hold?

Also would show  $s = 1$  codes from  $C_{12}$  cubics *do not* give any “new bests” for larger  $q$ .

## Some bounds – sectional genus of $X$ also matters!

Notation:  $C(X, s, \mathbb{F}_q)$  = degree  $s$  code on a projective surface  $X$ .

### Theorem

Assume  $(\deg X, \text{char}(\mathbb{F}_q)) = 1$  and Picard number of  $X = 1$ .  
Writing  $d_1 = d(C(X, 1, \mathbb{F}_q))$ ,  $g$  = sectional genus,

$$n - d_1 \leq 1 + q + g \lfloor 2\sqrt{q} \rfloor.$$

### Corollary

In situation of theorem, if  $q$  is sufficiently large, then writing  
 $d_s = d(C(X, s, \mathbb{F}_q))$ ,

$$n - d_s \leq s(n - d_1).$$

## Sectional genus $g = 0$

### Theorem

*If  $S$  is a smooth abstract surface and  $L$  is an ample line bundle with  $g(L) = 0$ , then  $(S, L)$  is one of the following:*

- $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(r))$ ,  $r = 1, 2$ .
- $(Q, \mathcal{O}_Q(1))$
- a Hirzebruch surface  $(F_r, \mathcal{O}_{F_r}(E + sf))$ ,  $s \geq r + 1$ .

In other words, few examples, and those are pretty well understood from coding theory perspective – e.g. codes from scrolls (C. Carvalho's talk), toric surface codes.

## Higher sectional genus surfaces not promising

- Consider the surface  $X_m$  in  $\mathbb{P}^3$  given by

$$0 = w^m + xy^{m-1} + yz^{m-1} + zx^{m-1}.$$

Shioda: rank  $NS(X) = 1$  over  $\mathbb{C}$  if  $m \geq 5$  (and K3 with rank  $NS(X) = 20$  for  $m = 4$ ).

- For  $m = 4$ , reduction of  $X_4$  may have no  $\mathbb{F}_q$ -lines or conics  $\Rightarrow$  no reducible plane sections.
- With  $q = 11$  and  $s = 1$ ,  $C(S_4, 1, \mathbb{F}_{11})$  is  $[144, 4, 120]$ .
- Min. weight codewords  $\leftrightarrow$  smooth plane quartics ( $g = 3$ ) with 24  $\mathbb{F}_{11}$ -rational points (optimal for  $g = 3$  by `manypoints.org`).
- $C_{12}$  cubics over  $\mathbb{F}_{11}$ : all give  $[144, 4, 126]$  codes:  $g = 1$  curves over  $\mathbb{F}_{11}$  have at most 18 rational points.
- Similarly for  $m \geq 5$ .

## A better sectional genus 1 example

- Consider the linear system of cubics in  $\mathbb{P}^2$  through a general Frobenius orbit  $\mathcal{O}_3 = \{P, F(P), F^2(P)\}$  ( $P \in \mathbb{P}^2(\mathbb{F}_{q^3})$ )
- $\dim = 7$ , so defines a rational map  $\mathbb{P}^2$  into  $\mathbb{P}^6$
- Image is a degree 6 del Pezzo surface  $X$  over  $\mathbb{F}_q$ ,
- Blows up the points in  $\mathcal{O}_3$  to lines, but defined over  $\mathbb{F}_{q^3}$ , not  $\mathbb{F}_q$ .
- $\Rightarrow$  Picard number equal to 2
- $\text{NS}(X)$  is generated by classes of proper transforms of conics in  $\mathbb{P}^2$  through  $\mathcal{O}_3$ , and lines in  $\mathbb{P}^2$ .

## How to determine the Picard number

- The zeta function of  $X$  has the form

$$Z(X, t) = \frac{[\deg 0][\deg 0]}{[\deg 1][\deg 4][\deg 1]} = \frac{1}{(1-t)P_2(t)(1-q^2t)},$$

where  $P_2(t) = (1-qt) \prod_{j=1}^3 (1-\alpha_j t)$ , with  $|\alpha_j| = q$  all  $j$ .

- Usual zeta function “yoga”:

$$|X(\mathbb{F}_{q^r})| = 1 + q^{2r} + q^r + \sum_{j=1}^3 \alpha_j^r = \begin{cases} 1 + q^{2r} + q^r & r \equiv 1, 2 \pmod{3} \\ 1 + q^{2r} + 4q^r & r \equiv 0 \pmod{3} \end{cases}$$

- $\Rightarrow \alpha_j = q, e^{2\pi i/3}q, e^{4\pi i/3}q$ . Tate: the Picard number of  $X$  equals  $1 +$  the number of  $\alpha_j$  equal to  $q$ .

## (More) interesting codes!

Theorem (also see Couvreur (1))

$C(X, 1, \mathbb{F}_q)$  is a  $[q^2 + q + 1, 7, q^2 - q - 1]$  code over  $\mathbb{F}_q$ .

(Min weight words from reducible cubics: conic through  $\mathcal{O}_3$  union a line meeting the conic in a pair of conjugate  $\mathbb{F}_{q^2}$ -points)  
For  $q = 7, 8, 9$  this equals the best known  $d$  for these  $n, k$  according to Grassl's tables.

Conjecture

$C(X, 2, \mathbb{F}_q)$  is a  $[q^2 + q + 1, 19, q^2 - 3q - 1]$  code over  $\mathbb{F}_q$ .

Would be new best for  $q = 7, 9$  and equal best known for  $q = 8$ .

Thanks for your attention!

## References

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